

FACTA and CRS Self-Certification Form for Controlling Persons



Please complete in block capitals and return this form to:
Charity Bank, Fosse House, 182 High Street, Tonbridge, Kent TN9 1BE

FOR OFFICE USE
Account number

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PLEASE READ THE FOLLOWING INFORMATION BEFORE COMPLETING THIS FORM

The Charity Bank Limited is obliged under Section 891E and Section 891F of the Taxes Consolidation Act 1997 (as amended), and Tax Regulations made pursuant to those sections, to collect certain information about each account holder's tax residency status and the tax residency and citizenship of controlling persons in certain circumstances.

Please complete, where applicable, the relevant sections below and provide any additional information as may be required. In certain circumstances we may be obliged to share this information with relevant tax authorities. This form is intended to request information only where such request is not prohibited by UK law.

If you have any questions about how to complete this form or any other concerns about the impact of sharing of information, you should contact your tax advisor or local tax authority.

Please note that the Bank does not provide tax advice and will not be liable for any errors contained in this form.

Complete this form if your Entity/Organisation has certified in the Self Certification Form for Business Savers as being;

1. A Passive NFFE/NFE, OR
2. An Investment Entity in a Non-Participating Jurisdiction

The Bank is required to establish whether any Controlling Person detailed in the mandate (i.e. Directors or Beneficial Owners whose percentage of ownership is 25% or greater or who otherwise exercises control over the Entity) is a U.S. citizen or resident in any country/territory other than the UK for tax purposes.

If the Controlling Person is tax resident in more than three countries, please use a separate sheet.

1. Controlling Persons

Person One

Title (Eg. Mr/Miss/Ms/Mrs/Other)

Full Name

Date of Birth (dd/mm/yyyy)

Permanent Residential Address

Postcode

Country

Are you a citizen of and/or tax resident in the United States of America (USA)?

Yes

☐

No

☐

If yes, please provide your US Tax Identification Number (TIN) below

US Tax Identification Number

(9 digits)

If cannot provide, confirm why

Are you tax resident in a country or territory other than the UK or USA?

Yes

☐

No

☐

Please list the countries/territories other than the UK or USA of which you are tax resident, if any, together with the associated tax identification number. If you cannot provide a TIN, please confirm a reason from those provided*

Country or Territory of Tax Residency

Tax Reference Number (TIN) If cannot provide, confirm reason*

*Reasons for missing TIN;

- A. The country/ territory of tax residency does not issue TINs or functional equivalents to its residents.
- B. The country/territory of tax residency has not issued a TIN to you (if selecting this option, please also provide an explanation in the box above).
- C. The domestic law of the country/ territory of tax residency does not require the collection of a TIN.

1. Controlling Persons continued

Person Two

Title (Eg. Mr/Miss/Ms/Mrs/Other)

Full Name

Date of Birth (dd/mm/yyyy)

Permanent Residential Address

Postcode

Country

Are you a citizen of and/or tax resident in the United States of America (USA)?

Yes

No

If yes, please provide your US Tax Identification Number (TIN) below

US Tax Identification Number

(9 digits)

If cannot provide, confirm why

Are you tax resident in a country or territory other than the UK or USA?

Yes

No

Please list the countries/territories other than the UK or USA of which you are tax resident, if any, together with the associated tax identification number. If you cannot provide a TIN, please confirm a reason from those provided*

Country or Territory of Tax Residency

Tax Reference Number (TIN) If cannot provide, confirm reason*

*Reasons for missing TIN;

A. The country/ territory of tax residency does not issue TINs or functional equivalents to its residents.

B. The country/territory of tax residency has not issued a TIN to you (if selecting this option, please also provide an explanation in the box above).

C. The domestic law of the country/ territory of tax residency does not require the collection of a TIN.

Please complete an additional form for any further controlling persons of the entity/organisation.

2. Declaration (Mandatory)

I understand that the information I have supplied is covered by the full provisions of the terms and conditions governing my relationship with Charity Bank, which also sets out how the Bank may use and share the information I have supplied.

I acknowledge that the information contained in this form and information regarding reportable account(s) held in the name of the entity/organisation with Charity Bank may be provided to the UK tax authorities and exchanged with tax authorities of another country or countries in which the entity/organisation may be tax resident, in accordance with intergovernmental agreements to exchange financial account information.

As representative authorised to sign on behalf of the entity/organisation;

- I declare that the information provided in this self-certification form are accurate and complete, to the best of my knowledge and belief.
- I agree to submit a new form to Charity Bank, within 30 days of any change of circumstances, any certification or information on this form becomes inaccurate.
- I declare that I have obtained permission from the Controlling Persons mentioned in section 1, if applicable, to disclose the information related to them for the purpose of it being reported to the tax authorities.

Signature

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Date

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Full Name

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Capacity / Role

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Name of Entity/ Organisation
of Controlling Persons

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