FACTA and CRS Self-Certification Form

for Non-Personal Savers

Please complete in block capitals and return this form to:
Charity Bank, Fosse House, 182 High Street, Tonbridge, Kent TN9 1BE

FOR OFFICE USE
Account number

PLEASE READ THE FOLLOWING INFORMATION BEFORE COMPLETING THIS FORM

The Charity Bank Limited is obliged under Section 891E and Section 891F of the Taxes Consolidation Act 1997 (as amended), and Tax Regulations made pursuant to those sections, to collect certain information about each account holder's tax residency status and the tax residency and citizenship of controlling persons in certain circumstances.

Please complete, where applicable, the relevant sections below and provide any additional information as may be required. In certain circumstances we may be obliged to share this information with relevant tax authorities. This form is intended to request information only where such request is not prohibited by UK law.

If you have any questions about how to complete this form or any other concerns about the impact of sharing of information, you should contact your tax advisor or local tax authority.

Please note that the Bank does not provide tax advice and will not be liable for any errors contained in this form.

When filling in this form, refer to the **FATCA and CRS Glossary** for definitions of specific words and terms which can be found under our Savings Document Library, Useful Forms section at https://www.charitybank.org/savings-accounts-document-library/#usefulforms.

Complete this form if you are a business customer/non-personal saver other than a sole trader.

If you are a sole trader, please complete the FATCA/CRS Self-Certification Form for Personal Savers which can also be found under our Savings Document Library, Useful Forms section at the above address.

All Sections must be completed as follows:
- Non-Financial Entities - Complete Sections 1, 2, 3 and 6
- Financial Institutions - Complete Sections 1, 2, 4, 5 and 6

Dependant on your selections in these sections you may also be required to complete the Controlling Person Self-Certification Form which can found again on our document library detailed above. Alternatively please contact us by phone: 01732 441944 or email enquiries@charitybank.org if you require copies of any documents or forms issued to you.

1. **Entity/Organisation Details** (Mandatory)

Name of Entity or Organisation

Country of Incorporation or Organisation

Current Registered Address

Postcode

Country

2. **Tax Residency for FACTA and CRS** (Mandatory) Review and complete as appropriate

Q1. Is your Entity/Organisation a Specified U.S. Person? Yes ☐ No ☐
Note: a Specified U.S. Person includes organisations

If yes, please provide your US Tax Identification Number (TIN) below

US Tax Identification Number (9 digits)

Q2. Is the Entity/Organisation resident in any other country or territory other than the United Kingdom for tax purposes? Yes ☐ No ☐

If 'Yes', list overleaf all countries/territories in which the Controlling Person is tax resident, and provide a Tax Identification Number (TIN) for each country/ territory. A TIN, or else a valid explanation as to why no TIN is available, is mandatory for each country/territory listed.
If you cannot provide a TIN, please confirm a reason from those provided*

2. **Tax Residency for FACTA and CRS** (Mandatory) Review and complete as appropriate, continued

Country or Territory of Tax Residency	Tax Reference Number (TIN) If cannot provide, confirm reason*

*Reasons for missing TIN;

A. The country/ territory of tax residency does not issue TINs or functional equivalents to its residents.

B. The country/territory of tax residency has not issued a TIN to you (if selecting this option, please also provide an explanation in the box above).

C. The domestic law of the country/ territory of tax residency does not require the collection of a TIN.

3. Non-Financial Entities

Financial Institutions to proceed directly to section 4.

Please confirm your Entity/Organisations classification for both FATCA and CRS and then proceed to section 6.

Refer to the **FATCA and CRS Glossary** for more details on Non-Financial Entities.

FATCA

I certify that the Entity/Organisation is an Active NFFE ☐

I certify that the Entity/Organisation is a Passive NFFE
(if selected, you will also need to complete the **Controlling Person Self-Certification Form**) ☐

I certify that the Entity/Organisation is an Excepted NFFE ☐

CRS

I certify that the Entity/Organisation is an Active NFE,
the stock of which is regularly traded on an established securities market ☐

I certify that the Entity/Organisation is an Active NFE, a Government Entity or Central Bank ☐

I certify that the Entity/Organisation is an Active NFE, an international organisation ☐

I certify that the Entity/Organisation is an Active NFE, other than the above Active NFE categories ☐

I certify that the Entity/Organisation is a Passive NFE
(if selected, you will also need to complete the **Controlling Person Self-Certification Form**) ☐

4. Financial Institutions required for FATCA

The information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5. If your Entity/Organisation is a Financial Institution under FATCA, please tick one of the options below. If you are not a Financial Institution under FATCA, please proceed to Section 5. Refer to the FATCA and CRS Glossary for more details on Financial Institutions.

Please select one of the following options

Registered Deemed Compliant Foreign Financial Institution ☐

Participating Foreign Financial Institution ☐

Partner Jurisdiction Financial Institution (including Irish Financial Institutions) ☐

Please provide your Global Intermediary Identification Number (GIIN)
(Format XXXXXX.XXXXX.XX.XXX) ____________

OR if your Entity is a Financial Institution but unable to provide a GIIN, please choose one of the reasons below:

Certified Deemed Compliant Foreign Financial Institution ☐

Exempt Beneficial Owner ☐

Non-Participating Foreign Financial Institution ☐

Excepted Foreign Financial Institution ☐

5. Financial Institutions required for CRS

The information provided in this section is for CRS, please note your classification may differ from your FATCA classification in Section 4. If your Entity/Organisation is a Financial Institution under CRS, please tick one of the options below. If you are not a Financial Institution under CRS, please proceed to Section 6. Refer to the FATCA and CRS Glossary for for more details on Financial Institutions.

Please select one of the following options

Investment Entity in Non- Participating Jurisdiction
(if selected, you will also need to complete the **Controlling Person Self-Certification Form**) ☐

Financial Institution (other than an Investment Entity in Non-Participating Jurisdiction) ☐

6. Declaration (Mandatory)

I understand that the information I have supplied is covered by the full provisions of the terms and conditions governing my relationship with Charity Bank, which also sets out how the Bank may use and share the information I have supplied.

I acknowledge that the information contained in this form and information regarding reportable account(s) held in the name of the entity/organisation with Charity Bank may be provided to the UK tax authorities and exchanged with tax authorities of another country or countries in which the entity/organisation may be tax resident, in accordance with intergovernmental agreements to exchange financial account information.

As representative authorised to sign on behalf of the entity/organisation;

- I declare that all statements made on this self-certification form are accurate and complete, to the best of my knowledge and belief.
- I agree to submit a new form to Charity Bank, within 30 days of any change of circumstances, any certification or information on this form becomes inaccurate.

Signature	
Date	/ /
Full Name	
Capacity / Role	